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Articles

# Environmental heterogeneity and its influence on the taxonomic richness and diversity of the Tropical Dry Forest in Pernambuco, Brazil

Heterogeneidade ambiental e sua influência na riqueza e diversidade taxonômica da Floresta Tropical Seca em Pernambuco, Brasil

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## ABSTRACT

The present study aimed to investigate species richness and alpha and beta diversities of tree communities in six areas of Tropical Dry Forest (TDF) located in the State of Pernambuco, Northeastern Brazil. The hypotheses tested were: (i) variations in altitude, temperature, rainfall and luminosity do not affect species richness and alpha diversity but do influence beta diversity; and (ii) turnover is the main factor in determining community structure in the studied TDF areas, implying that these communities are under the pressure of environmental filtration. The first hypothesis was only partially verified by our results since one of the environmental gradients, namely rainfall, was found to exert a significant effect on species richness and alpha diversity. However, all environment gradients influenced community structure and beta diversity. The turnover process was identified as the determining factor in structuring the TDF tree communities, thereby validating the pressure caused by abiotic filters and verifying the second hypothesis. Community structure and beta diversity were influenced mainly by the pressure of altitude on turnover and, to a lesser extent, of temperature and rainfall on nestedness.

Keywords: Abiotic filters; Nesting; Turnover





#### RESUMO

O objetivo com o presente estudo foi o de pesquisar a riqueza de espécies e as diversidades alfa e beta de comunidades arbóreas em seis áreas da Floresta Tropical Seca (FTS), localizada no estado de Pernambuco, Nordeste do Brasil. As hipóteses testadas foram: (i) variações de altitude, temperatura, pluviosidade e luminosidade não influenciam a riqueza de espécies e a diversidade alfa, mas afetam a diversidade beta, e (ii) a substituição das espécies (*turnover*) é o fator determinante da estrutura das comunidades nas áreas de FTS estudadas, significando que essas comunidades estão sob a pressão da filtragem ambiental. A primeira hipótese foi apenas parcialmente verificada pelos resultados, uma vez que um dos gradientes ambientais, mais especificamente a pluviosidade, exerceu um efeito significativo sobre a riqueza de espécies e a diversidade beta. O processo de *turnover* foi identificado como fator determinante para a estrutura das comunidades arbóreas da FTS, validando a pressão causada pelos filtros abióticos e verificando a segunda hipótese. A estrutura da comunidade e a diversidade beta foram influenciadas principalmente pela pressão da altitude sobre o *turnover* e, em menor grau, da temperatura e da precipitação sobre o aninhamento.

Palavras-chave: Filtros abióticos; Aninhamento; Substituição

# **1 INTRODUCTION**

The properties of ecosystems are generally controlled by biotic and abiotic elements that influence the structure of communities (Souza *et al.*, 2017; Münkemüller *et al.*, 2020). Studies have shown that taxonomic richness and diversity of plant species can increase or decrease according to several factors depending on the heterogeneity of the habitat and the pressure of biotic and abiotic (environmental) filters (Xu *et al.*, 2017).

Community structure is characterized by the set of populations of different species that coexist in the same space concomitantly. Analysis of community structure in terms of habitat heterogeneity can clarify how stochastic (random) and deterministic (abiotic and biotic filters) processes interact causing different patterns to emerge (Braga; Oliveira; Cerqueira, 2017). In this sense, studies on the distribution and abundance of organisms across environmental gradients are valuable in understanding how the environment influences the formation of local communities (Magnago *et al.*, 2013) regarding their specific composition, diversity and relative abundance of species (Valladares *et al.*, 2015).

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Habitat heterogeneity is defined as the spatial and temporal variation of the properties of the ecosystem (Bilia *et al.*, 2015) and can be characterized in many ways including, among others, gradients of altitude, slope, rainfall, air humidity, chemical and physical properties of the soil, luminosity, water level in the water table and topography of the landscape (Vianna *et al.*, 2015). In this context, the environmental gradient comprises any variation in the abiotic characteristics of a given location (Favero; Büneker; Waechter, 2022). Therefore, studies that focus on the influence of environmental gradients on community structure facilitate the understanding of variations in the vegetation by explaining habitat heterogeneity, namely the differential spatial distribution of species, populations, and other characteristics such as landscape coverage, productivity, species richness and diversity of the communities (Siqueira; Rocha, 2013; Magurran, 2013; Terra *et al.*, 2015).

Several studies have demonstrated that, in general, abiotic factors in Tropical Dry Forests (TDF) are not significantly correlated with alpha diversity (species richness) but are associated with beta diversity (Silva-Aparicio *et al.*, 2018), indicating that environmental filtering acts more strongly on beta diversity (Silva-Aparicio *et al.*, 2018; Whang *et al.*, 2019; Münkemüller *et al.*, 2020; Zhang *et al.*, 2022). These findings show that species composition changes across environmental gradients (Magurran, 2013), whilst knowledge of such variations allows the identification of processes responsible for community structure and the detection of loss of species diversity.

Detailed investigations of environmental gradients have shown that turnover processes appear to be the main structuring factor for the formation of communities. Such processes involve species replacement along environmental gradients that function as abiotic filters for the selection of species capable of surviving particular environments. In contrast, nestedness (nesting or grouping) processes involve species loss and are associated either with differences among community subsets owing to isolation, size of area, quality and grouping of habitats, or with species attributes such as area requirement, abundance and tolerance to abiotic factors (Cubino *et al.*, 2021; Zhang *et al.*, 2022).

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Considering the above, the present study aimed to investigate the taxonomy, species richness, and alpha and beta diversities of tree communities in six TDF areas in the state of Pernambuco, northeastern Brazil. The hypotheses tested were: (i) that variations in altitude, temperature, rainfall and luminosity do not affect species richness and alpha diversity but do influence beta diversity; and (ii) that turnover is the main factor in determining community structure in the studied TDF areas, implying that these communities are under the pressure of environmental filtration.

# **2 MATERIALS AND METHODS**

#### 2.1 Site of study

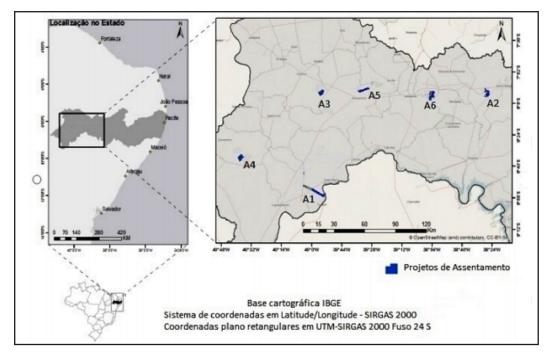
The study was carried out in six areas, each characterized as TDF (Faber-Langendoen *et al.*, 2016), located in Pernambuco, Northeastern Brazil, using as reference the forest management data provided by the Serviço Florestal Brasileiro (Ministério do Meio Ambiente e Mudança do Clima, Brasília, DF, Brazil). These data were employed in the project "Support for the Implementation of the Forestry Sustainable Development Program in the Araripe Region" and originated from a partnership between Companhia de Desenvolvimento dos Vales do São Francisco e do Parnaíba (CODEVASF, 3rd Regional Superintendence), Secretaria de Agricultura e Reforma Agrária of Pernambuco and Instituto Agronômico de Pernambuco (IPA).

The six TDF areas (Figure 1) were distributed across six municipalities of the semi-arid region of Pernambuco, namely Santa Maria da Boa Vista (A1), Serra Talhada (A2), Ouricuri (A3), Dormentes (A4), Verdejante (A5) and Parnamirim (A6). The TDFs covered a total of 2,397.71 ha of Caatinga, with an average altitude of 439 m, and contained native forest species distributed in family farming settlements. According to the Köppen system, the climate of the study areas is classified as hot semi-arid (BSh') and is characterized by an average annual temperature of 26.0°C, an average annual rainfall of 503.17 mm and an average annual luminosity of 2,823.08 h of sunshine



(Climate Data, 2022). It is worth highlighting that this is the first time that these TDFs have been inventoried and submitted to draft forest management plans. Furthermore, there are no reports about any previous cutting of the native vegetation in these areas.

Figure 1 – The Tropical Dry Forest areas studied in Pernambuco, Brazil



Source: Instituto Brasileiro de Geografia e Estatística (2023)

In where: A1 = Santa Maria da Boa Vista; A2 = Serra Talhada; A3 = Ouricuri; A4 = Dormentes; A5 = Parnamirim; A6 = Verdejante

## 2.2 Sampling design, data collection and processing

The sampling design considered the factors that promote environmental heterogeneity and included altitude, temperature, rainfall and luminosity (hours of sunshine per year), and the six studied areas (municipalities) were considered repetitions. Data were obtained from the forest management plan inventories that included all trees with circumferences at breast height (1.30 m above ground) equal to or greater than 6.0 cm present in 101 plots of 20 x 20 m (400 m<sup>2</sup> each; 40,400 m<sup>2</sup> in total) in which species richness and diversity had been analyzed. These plots were presented randomly in the forest inventories, the level of probability adopted in



the Student's *t* test was 90% and the maximum sampling error was 20.0% according to protocols of the Rede de Manejo Florestal da Caatinga and Agência Estadual de Meio Ambiente de Pernambuco. Data regarding the environmental gradients were collected in 2022 from the Climate Data website (Climate Data, 2022), which provided meteorological information covering average values over a 30-year period.

#### 2.3 Data analysis

Normality of the data regarding tree communities (species richness, diversity and dominance) and environmental gradients (altitude, temperature, rainfall and luminosity) was confirmed using the Shapiro-Wilk test. The alpha diversity in each area was calculated according to Hill numbers, which encompass three main diversity *measures*, namely species richness (q = 0), the corrected Shannon diversity index (q = 1) and the Simpson dominance index (q = 2) (Chao *et al.*, 2014). Weighted average values of Hill numbers were calculated using Kruskal-Wallis non-parametric statistical analysis to verify whether the communities in the study areas had the same distribution of species richness, diversity and dominance.

In order to understand the influence of environmental heterogeneity (altitude, temperature, rainfall and luminosity) on alpha diversity, the mean values of species richness and diversity were calculated in each study area and submitted to: (i) Spearman correlation analysis - to establish possible correlations between either species richness or diversity and each of the environmental gradients separately; and (ii) multiple linear regression (MLR) analysis with the alpha level set at 0.05 - to examine correlations between either species richness or diversity and each of the environmental gradients and 0.05 - to examine correlations between either species richness or diversity (dependent variables) and all environmental gradients (independent variables) taken together.

The influence of environmental heterogeneity (altitude, temperature, rainfall and luminosity) on beta diversity was determined using *partitioning* methods (Cubino *et al.*, 2021; Zhang *et al.*, 2022). Sorensen similarity (βsor) and Jaccard dissimilarity (βjac) indices were calculated to obtain the contribution of turnover processes, while

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the contribution of nestedness processes ( $\beta$ nes) was determined from the equation  $\beta$ nes =  $\beta$ sor –  $\beta$ jac. The percentage contributions of turnover ( $\beta$ jac) and nestedness ( $\beta$ nes) to  $\beta$ sor were evaluated to determine which of the two processes most clearly explained beta diversity. Subsequently, canonical correspondence analysis (CCA) was performed to examine correlations between the diversity indices ( $\beta$ sor,  $\beta$ jac and  $\beta$ nes) and the environmental gradients.

# **RESULTS AND DISCUSSIONS**

## 3.1 Alpha diversity

The 12 main families and 36 species identified in the TDF areas are presented in Table 1, while the mean values of Hill numbers relating to species richness and alpha diversity, together with those of the environmental gradients, are shown in Table 2.

Table 1 – Tree species identified in six study areas of Tropical Dry Forest in Pernambuco, Brazil

Femilies and encodes	Presence (1) or absence		1) or absence (0) in the ar		area	
Families and species	A1	A2	A3	A4	A5	A6
ANACARDIACEAE						
<i>Myracrodruon urundeuva</i> Allem.	1	1	1	1	1	1
Schinopsis brasiliensis Engl.	1	1	1	0	1	1
<i>Spondias tuberosa</i> Arruda	1	1	0	1	1	0
APOCYNACEAE						
Aspidosperma pyrifolium Mart.	1	1	1	1	1	1
BORAGINACEAE						
Varronia leucocephala (Moric.) J.S.Mill.	1	0	0	1	1	0
<i>Cordia oncocalyx</i> Allemão	1	1	1	1	1	1
BURSERACEAE						
Commiphora leptophloeos (Mart.) J.B.Gillett	1	1	1	1	1	1
CAPPARACEAE						
Capparis flexuosa (L.) L.	1	0	1	1	1	1
Capparis yco Mart.	1	0	1	0	1	1
CELASTRACEA						
<i>Maytenus rigida</i> (Thunb.) Mart.	0	1	0	1	0	0

To be continued ...



#### Table 1 – Conclusion

Fourilies and examine	Prese	nce (1)	or abs	ence (0	) in the	areas
Families and species	A1	A2	A3	A4	A5	A6
EUPHORBIACEAE						
<i>Sapium glandulosum</i> (L.) Morong	1	0	1	1	1	1
Cnidoscolus quercifolius Pohl	1	1	1	1	1	1
Manihot carthagenensis (Jacq.) Müll. Arg.	1	1	1	1	1	1
Croton blanchetianus Baill.	1	1	1	1	1	1
<i>Cnidoscolus urens</i> (L.) Arthur	1	0	1	1	1	1
Euphorbia phosphorea Mart.	1	0	0	0	1	1
Jatropha mollissima (Pohl) Baill.	1	1	1	1	1	1
Croton heliotropiifolius Kunth	1	1	1	1	1	1
FABACEAE						
<i>Anadenanthera colubrina</i> (Vell.) Brenan	1	1	1	1	1	1
Chloroleucon dumosum (Benth.) G.P.Lewis	1	0	0	1	1	0
Senna spectabilis (DC.) H.S.Irwin & Barneby	0	0	0	1	0	0
Cenostigma macrophyllum Tul.	1	1	0	0	0	0
<i>Piptadenia stipulacea</i> (Benth.) Brenan	1	1	1	1	1	1
<i>Mimosa ophthalmocentra</i> Mart. ex Benth.	1	1	0	1	1	1
Mimosa sensitiva L.	0	0	0	0	1	0
<i>Mimosa tenuiflora</i> (Willd.) Poir.	1	1	1	1	1	1
<i>Bauhinia cheilantha</i> (Bong.) Steud.	1	1	1	1	1	1
<i>Caesalpinia ferrea</i> Mart. ex Tul.	1	0	1	0	1	0
Pityrocarpa moniliformis (Benth.) Luckow & R.W.Jobson	0	0	1	1	0	1
Senegalia piauhiensis (Benth.) Bocage & L.P.Queiroz	1	0	0	1	1	0
Amburana cearensis (Allemão) A.C.Sm.	1	1	1	0	1	1
OLACACEAE						
Ximenia americana L.	1	0	0	1	0	0
RHAMNACEAE						
Ziziphus joazeiro Mart.	1	1	1	0	0	1
RUTACEAE						
Zanthoxylum rhoifolium Lam.	0	0	0	1	0	0
SAPOTACEAE						
Sideroxylon obtusifolium (Roem. & Schult.) T.D.Penn.	0	1	1	0	1	0

Source: Authors (2023)

In where: A1 = Santa Maria da Boa Vista; A2 = Serra Talhada; A3 = Ouricuri; A4 = Dormentes; A5 = Parnamirim; A6 = Verdejante.



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Table 2 – Mean values of the Hill numbers and details of environmental gradients in six

Areas	Richness	Diversity	Dominance	Altitude (m)	Annual temperature (°C)	Annual rainfall (mm)	Annual luminosity (h)
A1	8.0	4.4	3.5	367	26.7	441	2803.11
A2	8.6	5.6	4.4	438	26.1	448	2930.78
A3	13.0	6.7	4.8	456	25.6	579	2701.97
A4	9.8	5.2	3.7	506	25.6	522	2834.41
A5	9.7	5.0	3.9	384	26.5	530	2808.87
A6	9.1	5.0	3.7	481	25.6	499	2859.36

Source: Climate Data (2022)

In where: A1 = Santa Maria da Boa Vista; A2 = Serra Talhada; A3 = Ouricuri; A4 = Dormentes; A5 = Parnamirim; A6 = Verdejante.

Kruskal-Wallis analysis of Hill numbers revealed that there were no significant differences between the studied TDF areas regarding species richness and alpha diversity (Table 3). Spearman correlation analyses of the mean values of species richness or diversity and environmental gradients revealed that species richness was strongly correlated with rainfall while correlations with the other environmental gradients were weak (Table 4). Moreover, correlations between diversity and the four environmental gradients were also weak.

Table 3 – Summary of the Kruskal-Wallis analysis of Hill numbers obtained for six study areas of Tropical Dry Forest in Pernambuco, Brazil

	Para	meters
Areas —	Η (X <sup>2</sup> )	p value*
A1 - Santa Maria da Boa Vista	39.30	0.06
A2 - Serra Talhada	20.26	0.06
A3 - Ouricuri	12.24	0.66
A4 - Dormentes	5.92	0.88
A5 - Parnamirim	9.76	0.78
A6 - Verdejante	11.75	0.69

Source: Authors (2023)

In where: \* Level of significance  $p \le 0.05$ .



Table 4 – Spearman rank correlations showing the strengths of associations between tree species richness or diversity and environmental gradients in the study areas of Tropical Dry Forest in Pernambuco, Brazil

Associations	<b>R</b> coefficients	p values	Correlations*
Richness x Altitude	0.44	0.38	Weak
Richness x Temperature	- 0.32	0.54	Weak
Richness x Rainfall	0.97	0.001	Strong
Richness x Luminosity	- 0.38	0.45	Week
Diversity x Altitude	0.33	0.52	Weak
Diversity x Temperature	- 0.66	0.15	Weak
Diversity x Rainfall	0.58	0.23	Weak
Diversity x Luminosity	0.03	0.95	Weak

Source: Authors (2023)

In where: \* Classification according to Vieira (2012).

MLR analysis of species richness and environmental gradients (altitude, temperature, rainfall and luminosity) showed that the relationships between these variables were well explained by the regression model, as shown by the goodness of fit to the data ( $R^2 = 0.99$ ). A similar situation existed regarding the relationships between diversity and environmental gradients, for which the coefficient of determination ( $R^2$ ) was 0.94. However, MLR analysis also revealed that correlations between species richness or diversity and altitude, temperature, rainfall or luminosity were outside the significance limit as expressed by F values (> 0.05) and confirmed by the corresponding p values > 0.05 (Table 5).

Table 5 – Multiple linear regression analyses showing the relationships between species richness or diversity and environmental gradients in the study areas of Tropical Dry Forest in Pernambuco, Brazil

Parameters	Richness	Diversity
R <sup>2</sup> coefficient of determination	0.99	0.94
F test	0.17	0.36
p altitude	0.47	0.56
p temperature	0.23	0.22
p rainfall	0.32	0.26
p luminosity	0.21	0.23

Source: Authors (2023)



The results of the statistical analyses performed on the data relating to alpha diversity demonstrated that rainfall is the only environmental factor that exerted a significant influence on species richness, while none of the studied factors affected species diversity.

#### 3.2 Beta diversity

The variation in tree communities in the six TDF areas was expressed by beta diversity and was determined by species turnover and nestedness. As shown in Table 6, partitioning of beta diversity showed that the tree community in Serra Talhada (A2) presented the greatest level of similarity, as signified by the largest value (0.55) of  $\beta$ sor, while the communities in Santa Maria da Boa Vista (A1) and Ouricuri (A3) exhibited the smallest level of dissimilarity as indicated by the lowest values (0.32) of  $\beta$ jac. The highest degree of nestedness was found in Verdejante (A6), which presented a  $\beta$ nes value of 0.15, indicating that a greater loss of tree species diversity occurred in this area. The lowest levels of all indices of beta diversity were found in A3.

Areas	Indices of Beta diversity			
Aleas	βsor	βjac	βnes	
A1 - Santa Maria da Boa Vista	0.45	0.32	0.13	
A2 - Serra Talhada	0.55	0.42	0.13	
A3 - Ouricuri	0.42	0.32	0.13	
A4 - Dormentes	0.54	0.39	0.14	
A5 - Parnamirim	0.46	0.33	0.13	
A6 - Verdejante	0.54	0.40	0.15	
Mean	0.49	0.36	0.14	

Source: Authors (2023)

In where:  $\beta$ sor = Sorensen similarity index;  $\beta$ jac = Jaccard dissimilarity index (turnover);  $\beta$ nes = nestedness.

Partitioning of beta diversity also showed that, in the studied tree communities, the turnover process predominates over nestedness (with contributions to  $\beta$ sor of 73.47 and 28.57%, respectively) and constitutes the main factor responsible for beta

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diversity (Bernardo, 2012). Thus, abiotic filters (environmental, geographical/spatial and temporal restrictions) likely promote the structuring of beta diversity in these areas (Münkemüller *et al.*, 2020). The preponderance of the turnover process suggests that certain environmental situations and gradients are not tolerated by some species, and these may be replaced by others that better tolerate analogous conditions (Zhang *et al.*, 2022).

The results of CCA analysis between the beta diversity indices ( $\beta$ sor,  $\beta$ jac and  $\beta$ nes) and the environmental gradients (altitude, temperature, rainfall and luminosity) revealed that ordering axis 1 (x axis) provides most (97.73%) of the explanation for the variation in composition of the communities while axis 2 (y axis) provides only 2.27% (Table 7). Temperature, rainfall and luminosity remained closer to axis 1, with similarity ( $\beta$ sor) more associated with luminosity and nestedness ( $\beta$ nes) more associated with temperature and rainfall, while altitude remained closer to axis 2 and was more associated with turnover ( $\beta$ jac) (Figure 2).

Table 7 – Results of canonical correspondence analysis showing correlations of the environmental gradients in relation to ordering axes 1 and 2 in the study areas of Tropical Dry Forest in Pernambuco, Brazil

<b>Environmental gradients</b>	Axis 1	Axis 2
Altitude	- 0.28	- 0.31
Temperature	0.30	0.19
Rainfall	0.48	- 0.14
Luminosity	- 0.83	0.15

Source: Authors (2023)

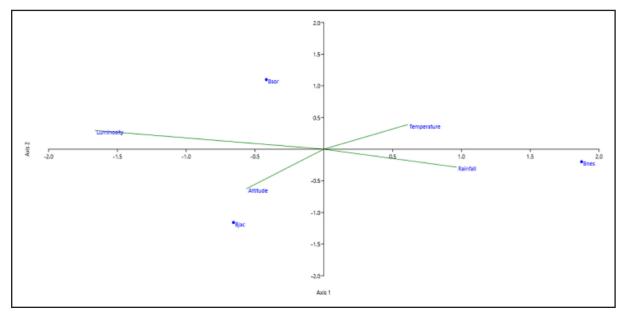
The results reported herein regarding the influence of abiotic factors on the alpha and beta diversities of tree communities in six TDF areas in Pernambuco, Brazil, were comparable with those reported previously by different researchers. For instance, our investigation revealed that rainfall strongly influenced species richness in the studied areas and that the structure of the tree communities (beta diversity)

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was affected significantly by the environmental gradients. In this sense, our results corroborate findings reported from different TDF areas in Mexico (Silva-Aparicio *et al.*, 2018), China (Whang *et al.*, 2019) and Kenya (Zhang *et al.*, 2022).

Figure 2 – Results of canonical correspondence analysis showing the spatial arrangement of  $\beta$ sor,  $\beta$ jac and  $\beta$ nes in relation to the direction and magnitude of the environmental gradients in the study areas of Tropical Dry Forest in Pernambuco, Brazil



Source: Authors (2023)

In the case of TDFs in Pernambuco, we identified temperature and rainfall as the main environmental factors affecting nestedness ( $\beta$ nes), which signifies loss of diversity of tree species. We also detected altitude as the main factor affecting the process of turnover ( $\beta$ jac), which leads to the replacement of tree species. In light of the above, it is considered that abiotic filters (represented by the environmental gradients) play a role in the selection of tree species that are more tolerant to the TDF environment.

Key: βsor = Sorensen similarity index; βjac = Jaccard dissimilarity index (turnover); βnes = nestedness

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# **4 CONCLUSIONS**

Our first hypothesis was only partially verified by our results since one of the environmental gradients, namely rainfall, was found to exert a significant effect on species richness and alpha diversity. However, all environment gradients influenced community structure and beta diversity. The turnover process was identified as the determining factor in structuring the TDF tree communities, thereby validating the pressure caused by abiotic filters and verifying our second hypothesis. Community structure and beta diversity were influenced mainly by the pressure of altitude on turnover and, to a lesser extent, of temperature and rainfall on nestedness.

The public authorities of the State of Pernambuco have authorized a 15-year cutting cycle of the native vegetation in the six TDF areas with the purpose of removing timber and non-timber products to supply firewood for energy production. In view of the current scenario of climate change, the continuous monitoring of these areas is critical to ensure: (i) the ecological sustainability of the areas at the end of each 15-year cycle in relation to issues regarding the maintenance of wood stock and the economic viability of the cutting plan; and (ii) the conservation of species richness and diversity and the continuity of ecosystem services provided by the TDFs.

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